

***Caltrain DBE
Program Update***

by

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DBE Triennial Goal

- Federal regulations (49 CFR Part 26.51) state the recipient must meet the maximum feasible portion of its overall goal by using race-conscious (RC) and race/gender-neutral (RN) means of facilitating Disadvantaged Business Enterprise (DBE) and Small Business Enterprise (SBE) participation.

Caltrain's Approved DBE Goal is 12.8%

RC Goal = 5.8%

RN Goal = 7%

- DBE goals are set for three federal fiscal years (FFY) October 1, 2022 – September 30, 2025.
 - DBE goals are set for federally funded contracting opportunities only.
 - FTA-assisted estimated contract amount: \$33,090,893
 - Total proposed dollar amount to be expended on DBEs: \$4,235,634.30
 - Anticipated Number of Projects for FFY 2023-2025: 10



DBE Program Strategies

- Full implementation of the B2GNow System
- Increase DBE certifications
- Increase monitoring on Caltrain projects
- Increase outreach to DBE and SBE firms
- Notify Primes and Subcontractors of upcoming Pre-Bid events
- Solicit DBE participation on Caltrain contracts
- Utilize contract specific goals
- TASI contract - Find opportunities for DBE and SBE participation
- Improve processes

B2GNow

➤ **Online Application Module**

- User friendly
- Electronic submissions

➤ **Certification Management Module**

- Process DBE applications online
- Streamline the process

➤ **Contract Compliance Module**

- Ensure prompt payments
- Monitoring contract goals
- Assist federal reporting

➤ **Outreach Module**

- Grow DBE certifications
- Outreach flyer
- Pre-Bid meetings
- Tracking and reporting

Increase DBE Participation

- OCR will focus on increasing DBE certifications and recruitment of certified DBEs to participate on Caltrain projects.
- Attend local construction events
- Engage local businesses and chambers of commerce
- As a member of the California Unified Certification Program (CUCP), Caltrain is committed to working with other agencies to level the playing field and improve business opportunities for DBEs.



DBE Contract Goals

- Caltrain will strive to maximize DBE participation by increasing contract goals where feasible and introducing contract goals on FTA-assisted contract and work directives
- Increase monitoring using the B2GNow Compliance module
- Pre-Bid events on Caltrain Projects
- Targeting DBE and SBE firms
- TASI DBE participation

Process Improvements

- Request for Proposal (RFPs) and contract language
- Working with internal partners
- Educating the public
- Expanding outreach Efforts
- Business Outreach Committee (BOC)

Challenges

- Processes
- Multiple Databases
- Light Rail vs. Heavy Rail
- Personnel
- Federal Transit Administration (FTA) Goal

Solutions

- Communication, Building Relationships
- Deployed Data Migration Plan
- Outreach, DBE certifications
- Hiring new employees
- Contract Specific Goals, Increase DBE Outreach/Certifications/Participation, and Increased monitoring

Questions?

